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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	Unassigned
Date Submitted: November 19, 2003 <i>(use as many sheets as necessary)</i>				Filing Date	11/19/2003
				First Named Inventor	John Jorstad
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	036390-0102
Sheet	1	of	1		

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
<i>U</i>	A12	SAHA ET AL., "Semi-Solid (SSM) Processing of Al-Si Alloys Utilizing the Concept of Diffusion Solidification," <i>Proceedings of Die Casting in the Heartland</i> , September 14 – 19, 2003, pp. 129-134.	
<i>W</i>	A13	YURKO ET AL., "Commercial Development of the Semi-Solid Rheocasting (SSR™)," <i>Proceedings of Die Casting in the Heartland</i> , September 14 – 19, 2003, pp. 379-384.	
<i>U</i>	A14	Presentation by Diran Apelian and John Jorstad at Worcester Polytechnic Institute during Summer 2003.	

Examiner Signature		Date Considered	11/14/04
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		Office ³	Number ⁴			

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT					
Date Submitted: December 12, 2003 <i>(use as many sheets as necessary)</i>					
Sheet	1	of	1	Attorney Docket Number	036390-0102

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Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
JK	B1	4,434,837		Winter et al	3/1984
	B2	5,161,601		Abis et al	11/1992
	B3	5,579,825		Shibata et al	12/1996
	B4	5,660,223		Thieman et al	8/1997
	B5	5,701,942		Adachi et al	12/1997
	B6	5,730,201		Rollin et al	3/1998
	B7	6,068,043		Clark	5/2000
	B8	6,165,411		Adachi et al	12/2000
	B9	6,478,075		Shibata et al	11/2002

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JK	B10	W0-99/00203-A1		01/1999	Shibata et al
JK	B11	EP-0 554 808 A1		8/1993	Wan
JK	B12	DE 4232742 A1		3/1994	Glanz

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JK	B13	John L. Jorstad, Science and Technology of Semi-Solid Metal Processing, Chapter 4, "Processing of SMM: Practical Considerations"			
JK	B14	Formation of Spherical Solid Phase in Die Casting Shot Sleeve Without Any Agitation, Proceedings 5 th International Conference on Semi-Solid Processing of Alloys and Composites – R. Shibata, T. Kaneuchi, T. Souda, and H. Yamane, June 1998			

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